

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No.	3602.1000-003
	First Named Inventor or Application Identifier	Nicholas Stamos
	Express Mail Label No.	EV 214950315 US

Title of Invention	DIGITAL ASSET USAGE ACCOUNTABILITY VIA EVENT JOURNALING
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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Specification <b>Total Pages [17]</b> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure  3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>Total Sheets [10]</b> <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [3] <input type="checkbox"/> No Figure to be Published  4. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages [3]</b> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) [    ] Pages c. <input type="checkbox"/> Statements verifying identity of above copies  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></td> </tr> <tr> <td>               7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; documents)                    <input checked="" type="checkbox"/> Assignee - Verdasys, Inc.                        Waltham, Massachusetts                 8. <input type="checkbox"/> Power of Attorney      <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement                 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>                 10. <input type="checkbox"/> Information Disclosure      <input type="checkbox"/> Copies of IDS Citations                    Statement (IDS)/PTO-1449                 11. <input type="checkbox"/> Preliminary Amendment                 12. <input checked="" type="checkbox"/> Return Receipt Postcard                 13. <input checked="" type="checkbox"/> Small Entity Statement(s)                 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365                 14b. <input type="checkbox"/> Certified Copy of Priority Document(s)                 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>                 16. <input checked="" type="checkbox"/> Other <u>Remarks letter</u> </td> </tr> </table>	<b>ACCOMPANYING APPLICATION PARTS</b>	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Verdasys, Inc. Waltham, Massachusetts  8. <input type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement  9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>  10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449  11. <input type="checkbox"/> Preliminary Amendment  12. <input checked="" type="checkbox"/> Return Receipt Postcard  13. <input checked="" type="checkbox"/> Small Entity Statement(s)  14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365  14b. <input type="checkbox"/> Certified Copy of Priority Document(s)  15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>  16. <input checked="" type="checkbox"/> Other <u>Remarks letter</u>
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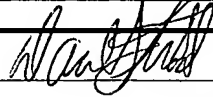
17. **If a CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☒ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.: 10/655,573

Prior application information:    Examiner: Not assigned    Group Art Unit: Not assigned

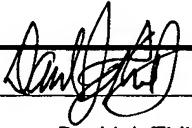
**The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.**  
*(Add standard Related Applications section with incorporation by reference to specification or update same)*

<b>18. CORRESPONDENCE ADDRESS</b>					
NAME	Customer No. 021005				
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Signature		Date	
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671

22389 U.S. PTO  
 10/716336  
 111803

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>		Attorney Docket Number		3602.1000-003	
		Application Number		Continuation of 10/655,573	
		First Named Inventor		Nicholas Stamos	
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	22 - 20* =	2	x \$ 18 =	\$ 36
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	2 - 3** =	0	x \$ 86 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 290 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 770
				Total of above Calculations =	\$ 806
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 403
	TOTAL =				\$ 403
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 0
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 0
	Assignment Recordation Fee = <small>(only when filed with application)</small>				\$ 40
	[Other]				\$
				TOTAL =	\$ 443
<p>1. Small entity status:</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> A small entity statement is enclosed.</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$443.00.                      <input type="checkbox"/> Please charge \$[    ] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature				Date	11/16/13
Submitted by Typed or Printed Name		David J. Thibodeau, Jr.		Reg. Number	31,671

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas Stamos, Seth N. Birnbaum, Tomas Revesz, Jr., Donato Buccella, Keith A. MacDonald, Dwayne A. Carson and William E. Fletcher

Continuation Application of

Application No.: 10/655,573

Filed: September 4, 2003

For: Digital Asset Usage Accountability Via Event Journaling

Date: 11-18-03

EXPRESS MAIL LABEL NO. EV 214950315 US

REMARKS

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 10/655,573 filed on September 4, 2003 to which priority is claimed under 35 U.S.C. §120.

The related applications paragraph has been revised to include a specific reference to the parent application.

Please send all correspondence in the above-identified continuation application to:  
Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **David J. Thibodeau, Jr., Esq.** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

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Dated: 11/18/03